

# Balefire

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Form ADV Part 2B – Individual Disclosure Brochure

## **Dalton Michael Richards**

Personal CRD Number: 6601210 Investment Adviser Representative

[drichards@balefirewealth.com](mailto:drichards@balefirewealth.com)

This brochure supplement provides information about Dalton Michael Richards (CRD# 6601210) that supplements the Balefire, LLC (“Balefire”) (CRD# 168733) disclosure brochure. You should have received a copy of the Balefire disclosure brochure. Please contact our Chief Compliance Officer at (972) 361-1001 or [compliance@balefirewealth.com](mailto:compliance@balefirewealth.com) if you did not receive a copy of the disclosure brochure or if you have any questions about the contents of the Balefire Disclosure Brochure or this Brochure Supplement.

Additional information about Mr. Richards is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his name or Individual CRD#.

## **Item 2: Educational Background and Business Experience**

Born 1990, Dalton Michael Richards earned his degree from Montana State University in 2014. His relevant business experience includes Balefire LLC (2016 - Present). He has attained FINRA qualifications Series 7, Series 66, and SIE.

## **Item 3: Disciplinary Information**

Financial Advisors are required to disclose all material facts regarding any legal or disciplinary events that may be material to your evaluation of their integrity with respect to providing you investment advice. Mr. Richards does not have any disclosable legal or disciplinary events. You can find additional information about Dalton Michael Richards on the SEC website at <http://www.adviserinfo.sec.gov> and on the FINRA website at [www.finra.org/brokercheck](http://www.finra.org/brokercheck).

## **Item 4: Other Business Activities**

Dalton Richards is the owner of an unaffiliated limited-liability company, DAR Holdings, LLC that provides passive investment capital to companies as an outside business activity. Balefire and DAR Holdings are not affiliated, and no Balefire clients are invested in DAR Holdings, LLC.

## **Item 5: Additional Compensation**

Mr. Richards does not receive any additional cash compensation, marketing fees, or other financial assistance, beyond the salary, bonuses, and profit-sharing paid to him by Balefire, LLC. Third parties such as issuers, investment managers, plan administrators, and custodians occasionally provide non-cash compensation to Balefire advisors in the form of meals, admission to educational conferences, transportation and lodging, events and entertainment, marketing related expenses, seminars and client appreciation events, and the like that have been approved by Balefire, LLC.

## **Item 6: Supervision**

The Firm's Written Supervisory Procedures (WSPs) govern the activities of all "Supervised Persons" of Balefire, LLC. Supervised Persons are provided with a copy of the WSPs on the date of hire, annually thereafter, and anytime amendments are made. Supervised Persons are required to acknowledge, in writing, receipt of a copy of the WSPs and any amendments thereto promptly after each copy is distributed. The Chief Compliance Officer ("CCO") is responsible for the administration of the compliance program and the written policies and procedures. The CCO may delegate some of his duties to another qualified person of the Firm. The CCO shall remain responsible for ensuring that delegated duties are carried out. Supervised Persons of the Firm include its employees, partners, officers, directors, independent contractors (or other persons occupying a similar status or performing similar functions), as well as any other persons who provide advice on behalf of the Advisor and are subject to the Firm's supervision and control. Supervised Persons with supervisory responsibilities, authority, or the ability to influence the conduct of others will exercise reasonable supervision of those subject to their supervision or authority to prevent any violations of applicable statutes, regulations, or provisions of the WSPs. In so doing, Supervised Persons may rely on procedures that are reasonably designed to prevent and detect such violations.