Updated Forms - February

Form ID	Form Name	Current Version No.	Version Accepted	Targeted Release Date	Changes Made
APP70550	DAFgiving360 New Account Application for Clients Associated with an Invesent Advisor	19	Version 19. (Version 18 accepted through 5/11/2025)	2/10/2025	• Re-brand of Schwab Charitable to Donor-Advised Fund (DAFgiving360™)
APP67305	DAFgiving360 Agency Agreement for Conveying Account Holder's Grant Recommendations	05	Version 5. (Version 4 accepted through 5/11/2025)	2/10/2025	
APP20090	DAFgiving360 Contribute to Your Donor-Advised Fund Account	30	Version 30. (Version 29 accepted through 5/11/2025)	2/10/2025	
APP20091	DAFgiving360 Recommend a Grant to Charity	20	Version 20. (Version 19 accepted through 5/11/2025)	2/10/2025	
APP93638	DAFgiving360 Transfer of Assets	07	Version 07. (Version 06 accepted through 5/11/2025)	2/10/2025	
APP116371	DAFgiving360 Update Your Invesent Advisor Information	04	Version 4. (Version 3 accepted through 5/11/2025)	2/10/2025	
REG56762	DAFgiving360 Invesent Advisory Agreement	10	Version 10. (Version 9 accepted through 5/11/2025)	2/10/2025	
APP36151	Individual 401(k) Distribution Request Form	13	Version 13. (Version 12 accepted through 5/11/2025)	2/10/2025	Section 5: Wire Transfer: • Under Type of Account, two additional check boxes added for [ ] Bank and [ ] Other • Wire fields layout updated to align with ISO standards. • Updated with 2025 W-4R
APP35811	Qualified Retirement Plan (QRP) and Keogh Distribution Request Form	15	Version 15. (Version 14 accepted through 5/11/2025)	2/10/2025	Section 6B: Wire Transfer: • Wire fields layout updated to align with ISO standards. • Updated with 2025 W-4R
APP20327	403(b)(7) Distribution Request Form	14	Version 14. (Version 13 accepted through 5/11/2025)	2/10/2025	• Forms updated with 2025 W-4R
APP12179	IRA Distribution Form	31	Version 31. (Version 30 accepted through 5/11/2025)	2/10/2025	
APP13618	IRA Recharacterization or Excess Withdrawal Form	17	Version 17. (Version 15-16 accepted through 5/11/2025)	2/10/2025	
APP35724	Tax Withholding Election Form for Individual Retirement Accounts	13	Version 13. (Version 12 accepted through 5/11/2025)	2/10/2025	
APP20075	Qualified Retirement Plan (QRP)/Keogh or Individual 401(k) Required Minimum Distribution Request Form	17	Version 17 . (Version 16 accepted through 5/11/2025)	2/10/2025	
APP81944	IRA Application For Use With Turnkey Asset Management Providers ("TAMPs")	20	Version 20. (Version 18 accepted through 3/12/2025. Version 19 accepted through 5/24/2025)	2/24/2025	• Forms updated with 2025 W-4R • IA client asset questions added at the top of the page
APP20087	Managed Account Marketplace IRA Application	46	Version 46. (Version 44 accepted through 3/12/2025. Version 45 accepted through 5/24/2025)	2/24/2025	
APP20087SITMI	Managed Account Marketplace IRA Application For Use With Turnkey Asset Management Providers	32	Version 32. (Version 30 accepted through 3/12/2025. Version 31 accepted through 5/24/2025)	2/24/2025	
APP21292	Managed Account Select & Managed Account Access IRA Application	59	Version 59. (Version 57 accepted through 3/12/2025. Version 58 accepted through 5/24/2025)	2/24/2025	
APP120380	Supplemental Application and Agreement for Limited Margin and Trading Option Spreads in Your IRA Account	01	Version 01. (Version 00 accepted through 5/24/2025)	2/24/2025	**Limited Margin Expansion** • Updates to accommodate additional account registrations beyond IRAs. Eligible accounts are listed on page 1 • Removal of SEP & SIMPLE IRA attestation section • Updates to terms and conditions
APP13704	Authorized Agent Designation Form	15	Version 15. (Version 14 accepted through 5/24/2025)	2/24/2025	**Summary Updates** • A master account agent's broker-dealer affiliation is no longer required, as these agents do not trigger a regulatory requirement to provide BD information. • In order to simplify and streamline how we share data with broker dealers, data sharing will be based at the firm level with the option to customize specific master accounts if needed. • The **Broker-Dealer Data Change Request** form will be retired and is in process to be removed from SAC. The **Broker-Dealer Data Access Agreement** will now be used to establish, adjust, or turn off sharing an IA's client account information with a Broker-Dealer.
APP99194	Broker-Dealer Data Access Agreement	03	Version 03. (Version 01-02 accepted through 5/24/2025)	2/24/2025	
APP12439	Designated Beneficiary Plan Agreement	18	Version 18. (Version 17 accepted through 3/12/2025)	2/24/2025	• FDIC Regulatory Updates on disclosures

Upcoming Version Deadlines (see Version Accepted column)

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APP69525	Step-Up Cost Basis to Date of Death Request Form	04	Version 4. Version 3 accepted through 3/15/2025	10/15/2024	• Section 1 - "previously provided to Schwab" check box removed under Death certification requirements. Verbiage added if left blank, the default percentage is proportionate to the number of account holders. - Clarification added for % step ups for joint accounts in and outside of community property states • New Section 2 added for Date of Death Valuation Method • Section 3 - clarification added to list the originating decedent and recipient beneficiary account(s) to be stepped up. Table redesigned to show which entry fields should be used for decedent and beneficiary account numbers • Section 4 - updates to signature verbiage
APP118939	Update Your Schwab One International Advisor Services Personal Account	03	Version 03. (Version 1-2 accepted through 3/12/2025)	12/4/2024	• FDIC Regulatory Updates on disclosures
APP20218	Schwab Qualified Retirement Plan (QRP) Participant Account Application	30	Version 30. (Version 29 accepted through 3/12/2025)	12/4/2024	
APP20261	Schwab One International Advisor Services Personal Account Application	30	Version 30. (Version 29 accepted through 3/12/2025)	12/4/2024	
APP113034	Schwab One Custodial Account Beneficiary Conversion Application	06	Version 06. (Version 5 accepted through 3/12/2025)	12/4/2024	
APP25065	Power Of Attorney	16	Version 16. (Version 15 accepted through 3/12/2025)	12/4/2024	
APP83332	Managed Account Marketplace Account Application for Trust Accounts	19	Version 19. (Version 17-18 accepted through 3/12/2025)	12/4/2024	
APP83555	Managed Account Select & Managed Account Access Account Application for Trust Accounts	23	Version 23. (Version 22 accepted through 3/12/2025)	12/4/2024	
APP98279	Schwab One International Advisor Services Organization Account Application	12	Version 12. (Version 10-11 accepted through 3/12/2025)	12/4/2024	
APP10539	IRA Account Application	60	Version 60. (Version 59 accepted through 3/12/2025)	12/17/2024	
APP107311	Power of Attorney for Schwab One International Advisor Services Account	02	Version 02. (Version 01 accepted through 3/12/2025)	12/17/2024	
APP10803	Company Retirement Account Application	34	Version 34. (Version 32-33 accepted through 3/12/2025)	12/17/2024	
APP113032	Schwab Custodial IRA Account Reregistration Application	05	Version 05. (Version 0-4 accepted through 3/12/2025)	12/17/2024	
APP20284	Managed Account Marketplace Account Application	44	Version 44. (Version 42 and 43 accepted through 3/12/2025)	12/17/2024	
APP20284sitmp	Managed Account Marketplace Account Application For Use With Turnkey Asset Management Providers	29	Version 29. (Version 27-28 accepted through 3/12/2025)	12/17/2024	
APP21286	Managed Account Select & Managed Account Access Account Application	59	Version 59. (Version 58 accepted through 3/12/2025)	12/17/2024	
APP32394	Add Schwab Bank Investor Checking to your Schwab One Brokerage Account	15	Version 15. (Version 14 accepted through 3/12/2025)	12/17/2024	
APP33529	Withdrawal Power and Trading Authorization Addendum to Limited Power of Attorney	08	Version 08. (Version 5-7 accepted through 3/12/2025)	12/17/2024	
APP35101	Schwab One Account Application for Trust Accounts and Schwab Bank Investor Checking™ Trust Accounts	21	Version 21. (Version 20 accepted through 3/12/2025)	12/17/2024	
APP62768	Schwab One Account Application for Incorporated Organizations	21	Version 21. (Version 20 accepted through 3/12/2025)	12/17/2024	
APP65225	Pledged Asset Account Application For Accounts Pledged to Charles Schwab Bank	16	Version 16. (Version 14-15 accepted through 3/12/2025)	12/17/2024	
APP65298	Schwab One Account Application for Non-Incorporated Organizations	24	Version 24. (Version 22-23 accepted through 3/12/2025)	12/17/2024	
APP83344	Managed Account Marketplace Account Application for Trust Accounts For Use With TAMPs	21	Version 21. (Version 19-20 accepted through 3/12/2025)	12/17/2024	

APP88308	Add Schwab Bank Investor Checking to your Schwab One Brokerage Trust Account	08	Version 08. (Version 7 accepted through 3/12/2025)	12/17/2024	
APP93352	Education Savings Account (ESA) Application	09	Version 09. (Version 5 -8 accepted through 3/12/2025)	12/17/2024	
APP93414	Custodial/Minor IRA Account Application	14	Version 14. (Version 9-13 accepted through 3/12/2025)	12/17/2024	
APP12439	Designated Beneficiary Plan Agreement	18	Version 18. (Version 17 accepted through 3/12/2025)	2/24/2025	• FDIC Regulatory Updates on disclosures
APP10508	Options Trading and Margin Application	31	Version 31. (Version 30 accepted through 3/12/2025)	12/12/2024	• Section 4: Fields added to collect knowledge level and investment experience for Bonds, Commodities, and Other financial instruments
APP120663	Options Trading Application for Investment Advisor Masters	03	Version 03. (Version 02 accepted through 4/29/2025)	12/12/2024	
APP13582	Schwab One Account Application for Personal Accounts	44	Version 44. (Version 42-43 accepted through 3/17/2025)	12/17/2024	• Section 1 & 2: Clarity added that margin isn't available for Custodial, Conservatorship, Guardianship or Estate accounts • Section 3: updates added to clarify if an email is entered for the minor, it will not be entered/processed instead an email can be provided in the Custodian section. • Section 12/13: SSN and Address fields removed
APP91587	Schwab One Account Application for Personal Accounts for Use With Turnkey Asset Management Providers ("TAMPs")	13	Version 13. (Version 11-12 accepted through 3/17/2025)	12/17/2024	
APP38899	Inherited IRA Account Application for Individual Beneficiaries	22	Version 22. (Version 21 accepted through 3/12/2025)	12/12/2024	• Updates to remove the requirement of declaring an RMD distribution at the time an inherited IRA account is opened. This change provides clients with the flexibility to review account details, the decedent's RMD status, and coordinate with other beneficiaries as needed. Once ready, clients can request a distribution online or via the IRA Distribution form to satisfy any RMD requirements for that year (whether they pertain to the decedent's requirements or their own). • Instructions updated under "Information" section and "Required Documents" • Section 1 retitled to "Most Recent Decedent's Information"
APP92530	Inherited IRA Account Application for an Organization Beneficiary	14	Version 14. (Version 13 accepted through 3/12/2025)	12/12/2024	

APP92525	Inherited IRA Application for an Estate Beneficiary	15	Version 15. (Version 14 accepted through 3/12/2025)	12/12/2024	• Updates to remove the requirement of declaring an RMD distribution at the time an inherited IRA account is opened. This change provides clients with the flexibility to review account details, the decedent's RMD status, and coordinate with other beneficiaries as needed. Once ready, clients can request a distribution online or via the IRA Distribution form to satisfy any RMD requirements for that year (whether they pertain to the decedent's requirements or their own). • Instructions updated under "Information" section and "Required Documents" • Section 1 retitled to "Most Recent Decedent's Information" • Section 4: Instructions added should an entity be appointed as Executor, to provide one of the following required forms: Schwab One Account Application for Incorporated Organizations or Schwab One Account Application for Non-Incorporated Organizations; as applicable to the organization.
APP92526	Inherited IRA Account Application for Trust Beneficiary	15	Version 15. (Version 14 accepted through 3/12/2025)	12/12/2024	• Updates to remove the requirement of declaring an RMD distribution at the time an inherited IRA account is opened. This change provides clients with the flexibility to review account details, the decedent's RMD status, and coordinate with other beneficiaries as needed. Once ready, clients can request a distribution online or via the IRA Distribution form to satisfy any RMD requirements for that year (whether they pertain to the decedent's requirements or their own). • Instructions updated under "Information" section and "Required Documents" • Section 1 retitled to "Most Recent Decedent's Information" • Section 3B: New field added for "Legal Name associated the provided Tax ID, if different from the full legal name of Trust" • Section 5: Instructions added under each grantor. Field changes to separate Entity Name and State/Country of Establishment.
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