

Balefire, LLC
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Form ADV Part 2B - Individual Disclosure Brochure

Brad L. Neugebauer

Personal CRD Number: 3062653 Investment Adviser Representative

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This brochure supplement provides information about Brad L. Neugebauer (CRD# 3062653) that supplements the Balefire, LLC ("Balefire") (CRD# 168733) disclosure brochure. You should have received a copy of the Balefire disclosure brochure. Please contact our Chief Compliance Officer at (972) 361-1001 or compliance@balefirewealth.com if you did not receive a copy of the disclosure brochure or if you have any questions about the contents of the Balefire Disclosure Brochure or this Brochure Supplement.

Additional information about Mr. Neugebauer is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or Individual CRD#.

Item 2: Educational Background and Business Experience

Born 1973, Brad L. Neugebauer graduated from South Dakota State University (1998) with a Bachelor's of Science degree in Ag Business. His relevant business experience includes Balefire LLC (2019-Present) and Principal Financial Group (1998-2019). He has attained FINRA qualifications Series 6, Series 7, Series 63, Series 65, and SIE.

Item 3: Disciplinary Information

Financial Advisors are required to disclose all material facts regarding any legal or disciplinary events that may be material to your evaluation of their integrity with respect to providing you investment advice. Your financial advisor does not have any disclosable legal or disciplinary events. You can find additional information about Brad Neugebauer on the SEC website at http://www.adviserinfo.sec.gov and on the FINRA website at www.finra.org/brokercheck.

Item 4: Other Business Activities

Brad Neugebauer offers fixed insurance products through unaffiliated issuers for which Balefire receives compensation.

Item 5: Additional Compensation

Your financial advisor does not receive any additional cash compensation, marketing fees, or other financial assistance, beyond the salary, bonuses, and profit-sharing paid to him by Balefire, LLC. Third-parties such as issuers, investment managers, and custodians occasionally provide non-cash compensation to Balefire advisors in the form of meals, admission to educational conferences, transportation and lodging, events and entertainment, marketing related expenses, seminars and client appreciation events, and the like that has been approved by Balefire, LLC.

Item 6: Supervision

The Firm's Written Supervisory Procedures (WSPs) govern the activities of all "Supervised Persons" of Balefire, LLC. Supervised Persons are provided with a copy of the WSPs on the date of hire, annually thereafter, and anytime amendments are made. Supervised Persons are required to acknowledge, in writing, receipt of a copy of the WSPs and any amendments thereto promptly after each copy is distributed. The Chief Compliance Officer ("CCO") is responsible for the administration of the compliance program and the written policies and procedures. The CCO may delegate some of his duties to another qualified person of the Firm. The CCO shall remain responsible for ensuring that delegated duties are carried out. Supervised Persons of the Firm include its employees, partners, officers, directors, independent contractors (or other persons occupying a similar status or performing similar functions), as well as any other persons who provide advice on behalf of Balefire and are subject to the Firm's supervision and control. Supervised Persons with supervisory responsibilities, authority, or the ability to influence the conduct of others will exercise reasonable supervision of those subject to their supervision or authority to prevent any violations of applicable statutes, regulations, or provisions of the WSPs. In so doing, Supervised Persons may rely on procedures that are reasonably designed to prevent and detect such violations.